

AXIAMD.006A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Cragg, et al.

App. No. : 09/782,534

Filed : February 13, 2001

For : APPARATUS FOR  
PERFORMING A DISCECTOMY  
THROUGH A TRANS-SACRAL  
AXIAL BORE WITHIN THE  
VERTEBRAE OF THE SPINE

Examiner : Unknown

Group Art Unit 3731

PATENT  
**RECEIVED**  
MAY - 2 2002  
TECHNOLOGY CENTER R3700

#8

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing twenty-five (25) references that are also enclosed. This Supplemental Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 4/26/02

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
AXIAMD.006AAPPLICATION NO.  
09/782,534**RECEIVED****MAY 2 2002****TECHNOLOGY CENTER**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
BY APPLICANTAPPLICANTS  
Cragg, et al.FILING DATE  
February 13, 2001GROUP  
3731

(USE SEVERAL SHEETS IF NECESSARY)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	5,062,850	11/05/91	MacMillan, et al.			
	2	5,242,444	09/07/93	MacMillan			
	3	5,366,457	11/22/94	McGuire, et al.			
	4	5,653,708	08/05/97	Howland			
	5	5,741,261	04/21/98	Moskovitz, et al.			
	6	5,785,709	07/28/98	Kummer, et al.			
	7	5,792,044	08/11/98	Foley, et al.			
	8	5,885,292	03/23/99	Moskovitz, et al.			
	9	5,891,147	04/06/99	Moskovitz, et al.			
	10	5,902,231	05/11/99	Foley, et al.			
	11	5,954,635	09/21/99	Foley, et al.			
	12	6,007,487	12/28/99	Foley, et al.			
	13	6,152,871	11/28/00	Foley, et al.			
	14	6,162,170	12/19/00	Foley, et al.			
	15	6,176,823 B1	01/23/01	Foley, et al.			
	16	6,206,822 B1	03/27/01	Foley, et al.			
	17	6,217,509 B1	04/17/01	Foley, et al.			
	18	US 2002/0032444 A1	03/14/02	Mische			

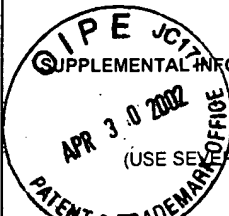
## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	19	WO 02/09801 A1	02/07/02	PCT				

EXAMINER

DATE CONSIDERED

\*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. AXIAMD.006A	APPLICATION NO. 09/782,534
 <p><b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT</b> BY APPLICANT</p> <p>(USE SEVERAL SHEETS IF NECESSARY)</p>		APPLICANTS Cragg, et al.	<b>RECEIVED</b>  <b>MAY - 2 2002</b>
		FILING DATE February 13, 2001	GROW TECHNOLOGY CENTER #6739 3731

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	20	Jason A. Smith, MD, et al., "Clinical Outcome of Trans-Sacral Interbody Fusion After Partial Reduction for High-Grade L5-S1 Spondylolisthesis," <u>Spine</u> , 2001, Vol. 26, No. 20, pp. 2227-2234.
	21	Michael MacMillan, MD, et al., "Percutaneous Lumbosacral Fixation and Fusion," <u>Percutaneous Spine Techniques</u> , January 1996, Vol. 7, No. 1, pp. 99-106.
	22	Curtis A. Dickman, M.D., et al., "Transpedicular screw-rod fixation of the lumbar spine: operative technique and outcome in 104 cases," <u>J. Neurosurg.</u> , December, 1992, Vol. 77, pp. 860-870.
	23	Richard M. Slone, MD, et al., "Spinal Fixation, Part 1, Basic Hardware, and Fixation Techniques for the Cervical Spine," <u>RadioGraphics</u> , 1993, Vol. 13, No. 2, pp. 341-356.
	24	Richard M. Slone, MD, et al., "Spinal Fixation, Part 2, Fixation Techniques and Hardware for the Thoracic and Lumbosacral Spine," <u>RadioGraphics</u> , 1993, Vol. 13, No. 3, pp. 521-543.
	25	Michael MacMillan, et al., "Biomechanical Analysis of a New Anterior Spine Implant for Post-Corpectomy Instability," <u>Journal of Spinal Disorders</u> , 1995, Vol. 8, No. 1, pp. 56-61.

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EXAMINER	DATE CONSIDERED
<p>*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.</p>	